| Electronic Acknowledgement Receipt   |                                                                      |  |  |  |  |
|--------------------------------------|----------------------------------------------------------------------|--|--|--|--|
| EFS ID:                              | 2021590                                                              |  |  |  |  |
| Application Number:                  | 10723518                                                             |  |  |  |  |
| International Application Number:    |                                                                      |  |  |  |  |
| Confirmation Number:                 | 8937                                                                 |  |  |  |  |
| Title of Invention:                  | Methods for identifying risk of breast cancer and treatments thereof |  |  |  |  |
| First Named Inventor/Applicant Name: | Richard B. Roth                                                      |  |  |  |  |
| Customer Number:                     | 47328                                                                |  |  |  |  |
| Filer:                               | Bruce David Grant                                                    |  |  |  |  |
| Filer Authorized By:                 |                                                                      |  |  |  |  |
| Attorney Docket Number:              | SEQ-4068-UT                                                          |  |  |  |  |
| Receipt Date:                        | 01-AUG-2007                                                          |  |  |  |  |
| Filing Date:                         | 25-NOV-2003                                                          |  |  |  |  |
| Time Stamp:                          | 19:32:28                                                             |  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)                                          |  |  |  |  |
| Payment information:                 |                                                                      |  |  |  |  |

# Payment information

| Submitted with Payment | no |
|------------------------|----|
|------------------------|----|

# File Listing:

| Document<br>Number | Document Description      | File Name                                 | File Size(Bytes)<br>/Message Digest         | Multi<br>Part /.zip | Pages<br>(if appl.) |
|--------------------|---------------------------|-------------------------------------------|---------------------------------------------|---------------------|---------------------|
| 1                  | Oath or Declaration filed | SEQ-4068-UT_CANTOR_D<br>EC_2007-07-18.pdf | 2070511<br>te7b6a7123962484241c1abde0d188c2 | no                  | 10                  |
| Warnings:          |                           |                                           |                                             |                     |                     |

| Information: |                                                     |                                                                       |                                                       |       |   |  |  |  |  |
|--------------|-----------------------------------------------------|-----------------------------------------------------------------------|-------------------------------------------------------|-------|---|--|--|--|--|
| 2            |                                                     | SEQ-4068-UT_Response_to<br>_Notice_of_Non_Compliant_<br>Amendment.pdf | 65225<br>60ad 13b47bb294f2bd4802bba52d501<br>3687db7d | yes   | 6 |  |  |  |  |
|              | Multipart Description/PDF files in .zip description |                                                                       |                                                       |       |   |  |  |  |  |
|              | Document Description                                |                                                                       | Start                                                 | End   |   |  |  |  |  |
|              | Amendment - After Non-Final Rejection               |                                                                       | 1                                                     | 1     |   |  |  |  |  |
|              | Claims                                              |                                                                       | 2                                                     | 5     |   |  |  |  |  |
|              | Applicant Arguments/Remarks Made in an Amendment    |                                                                       | 6                                                     | 6     |   |  |  |  |  |
| Warnings:    |                                                     |                                                                       | -                                                     |       |   |  |  |  |  |
| Information: |                                                     |                                                                       |                                                       |       |   |  |  |  |  |
| 3            |                                                     | SEQ-4068-UT_OAR_2007-0<br>8-01.pdf                                    | 69346                                                 | yes   | 6 |  |  |  |  |
| "            |                                                     |                                                                       | e72c8608514b77287ffs0e70716216bf9<br>a819469          |       |   |  |  |  |  |
|              | Multipart Description/PDF files in .zip description |                                                                       |                                                       |       |   |  |  |  |  |
|              | Document Description                                |                                                                       | Start                                                 | End   |   |  |  |  |  |
|              | Amendment - After Non-Final Rejection               |                                                                       | 1                                                     | 1     |   |  |  |  |  |
|              | Applicant summary of interview with examiner        |                                                                       | 2                                                     | 2     |   |  |  |  |  |
|              | Applicant Arguments/Remarks Made in an Amendment    |                                                                       | 3                                                     | 6     |   |  |  |  |  |
| Warnings:    |                                                     |                                                                       |                                                       | _     |   |  |  |  |  |
| Information: |                                                     |                                                                       |                                                       |       |   |  |  |  |  |
|              |                                                     | Total Files Size (in bytes):                                          | 22                                                    | 05082 |   |  |  |  |  |

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filling Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/IDO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filling Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the international Application Number and of the international Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.